

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-06)

Approved for use through 10/31/2002, OMB 0551-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Substrates for form 3669APTC

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

She

1

1

Complete if Known

| | |
|------------------------|------------------------|
| Application Number | Unassigned |
| Filing Date | June 16, 2005 |
| First Named Inventor | Herbert SCHWARZ et al. |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | HAFERMANN-1 |

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| | | | |
|-----------------------|-----------|--------------------|-----------|
| Examiner Signature | /Lei Yao/ | Date Considered | /Lei Yao/ |
|-----------------------|-----------|--------------------|-----------|

[REDACTED] EXAMINER, initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

³ Unique related designation number. ³ Patent classification numbers. ³ Patent Office has issued the document by the two-letter code of WIPO Standard ST.31. ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 15, if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. Number refers to English language correspondence family member.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 450, Washington, DC 20231-4500.

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-09)

Approved for use through 10/31/2002 OMB 93-11-0031

Approved for use without notice by the U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMS control number.

Substitute for form 1449A-PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Shao

2

26

2

| <i>Complete If Known</i> | |
|---------------------------------|------------------------|
| Application Number | Unassigned |
| Filing Date | June 16, 2005 |
| First Named Inventor | Herbert SCHWARZ et al. |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | HABERMANN-1 |

NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials * | Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
|---------------------|---|
| JLYJ | Guinn B-A et al: "4-1BBL COOPERATES WITH B7-1 AND B7-2 IN CONVERTING A B CELL LYMPHOMA CELL LINE INTO A LONG-LASTING ANTITUMOR VACCINE" JOURNAL OF IMMUNOLOGY, THE WILLIAMS AND WILKINS CO, BALTIMORE, US, vol. 162, no. 8, 1999, pages 5003-5010, XP000887237 |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

| | | | |
|-----------------------|-----------|--------------------|------------|
| Examiner Signature | /Lei Yao/ | Date Considered | 02/12/2009 |
|-----------------------|-----------|--------------------|------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Euden Hour Statement. This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete the form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.